Annexure - 1

FORM NO. MGT-9

EXTRACT OF ANNUAL RETURN

As on the financial year ended on 31st March, 2020

[Pursuant to section 92(3) of the Companies Act, 2013 and rule 12(1) of the Companies (Management and Administration) Rules, 2014]

I. REGISTRATION AND OTHER DETAILS:

1.	CIN	L29150KA1976PLC003017
2.	Registration Date	28th June, 1976
3.	Name of the Company	YUKEN INDIA LIMITED
4.	Category/Sub-category of the Company	Company Limited by shares/ Indian Non-Government Company
5.	Address of the Registered office	No. 16-C, Doddanekundi Industrial Area, II Phase, Mahadevapura, Bengaluru, Karnataka, PIN Code: 560048, India.
6.	Address of Corporate Office	P B No. 5, Koppathimmanahalli Village, Malur-Hosur Main Road, Malur Taluk, Kolar District, Karnataka, PIN Code: 563130.
7.	Contact Details	Contact No. +91 9845191995, +91 9731610341, +91 8050697694 Email: hmn_rao@yukenindia.com, vinayak.hegde@yukenindia.com Website: http://www.yukenindia.com/
8.	Whether listed Company	Yes Name of the Stock Exchange: BSE Limited (BSE) Script Code: 522108
9.	Name, Address & contact details of the Registrar & Transfer Agent, if any.	KFin Technologies Private Limited (Formerly known as Karvy Fintech Private Limited), Unit: Yuken India Limited Karvy Selenium Tower-B, Plot Nos. 31 & 32, Financial District, Gachibowli, Nanakramguda, Serilingampally, Hyderabad- 500 008. Phone: (040) 6716 1500/1592/1509/1508 Toll Free no.: 1800-345-4001 E Mail Id: Einward.ris@karvy.com Website: www.kfintech.com Fax Number: 040 - 23420814

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY:

All the business activities contributing 10% or more of the total turnover of the Company:

Sl. No.	Name and Description of main products / services	NIC Code of the Product/ service	% to total turnover of the Company
1	Hydraulic Pumps, Valves and Others	2812	68%
2	Hydraulic Power Units	2812	32%

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES:

Sl. No.	Name and Address of the Company	CIN	Holding/ Subsidiary/ Associate	% of shares held	Applicable Section of the Companies Act, 2013
1	GROTEK ENTERPRISES PRIVATE LIMITED No. 16-C, Doddanekundi Industrial Area II Phase, Mahadevapura Bengaluru – 560048.	U29220KA1997PTC023210	Subsidiary	100%	2(87)
2	CORETEC ENGINEERING INDIA PRIVATE LIMITED B-59, Dyavasandra Industrial Estate, Mahadevapura, Whitefield Road, Bengaluru – 560048.	U29246KA1998PTC023863	Subsidiary	100%	2(87)
3	YUFLOW ENGINEERING PRIVATE LIMITED C/o. Yuken India Limited, B-80, 2nd Cross, 1st stage, Peenya Industrial Area, Bengaluru – 560058.	U29120KA2002PTC120611	Subsidiary	100%	2(87)
4	*KOLBEN HYDRAULICS LIMITED SB-54, Ground, Mezzanine and 1st Floor 1st stage, 2nd Cross, Peenya Industrial Area Bengaluru - 560058	U29119KA2007PLC043340	Subsidiary	85.92%	2(87)
5	**SAI INDIA LIMITED** No. 26-C, Doddenakkundi Industrial Area, Phase 1, Mahadevapura Post, Bengaluru- 560048.	U29120KA1989FLC010358	Associate	40%	2(6)
6	BOURTON CONSULTING (INDIA) PRIVATE LIMITED No.16 C, Doddanekundi Industrial Area II Phase, Mahadevapura Bengaluru - 560048	U74140KA2007PTC042384	Associate	29.54%	2(6)

* Kolben Hydraulics Limited is a subsidiary Company with effect from 01st July, 2019.

IV. SHARE HOLDING PATTERN (EQUITY SHARE CAPITAL BREAKUP AS PERCENTAGE OF TOTAL EQUITY):

(i) Category-wise Share Holding:

Category code	Category of shareholder	No. of shares held at the beginning of the year 01.04.2019				No. of shares held at the end of the year 31.03.2020				% Change during the year
		Demat	Physical	Total	% of total shares	Demat	Physical	Total	% of total shares	
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)	(X)	(XI)
(A)	PROMOTER AND PROMOTER GROUP									
(1)	Indian									
(a)	Individual /HUF	1,08,400	-	1,08,400	0.90	1,08,400	-	1,08,400	0.90	-
(b)	Central Government/ State Government(s)	-	-	-	-	-	-	-	-	-
(c)	Bodies Corporate	13,91,808	-	13,91,808	11.60	13,91,808	-	13,91,808	11.60	-
(d)	Financial Institutions / Banks	-	-	-	-	-	-	-	-	-
(e)	Others	-	-	-	-	-	-	-	-	-
	Sub-Total A(1):	**15,00,208**	**-**	**15,00,208**	**12.50**	**15,00,208**	**-**	**15,00,208**	**12.50**	**-**
(2)	Foreign	-	-	-	-	-	-	-	-	-
(a)	Individuals (NRIs/ Foreign Individuals)	-	-	-	-	-	-	-	-	-
(b)	Bodies Corporate	48,00,000	-	48,00,000	40.00	48,00,000	-	48,00,000	40.00	-
(c)	Institutions	-	-	-	-	-	-	-	-	-

Category code	Category of shareholder	No. of shares held at the beginning of the year 01.04.2019				No. of shares held at the end of the year 31.03.2020				% Change during the year
		Demat	Physical	Total	% of total shares	Demat	Physical	Total	% of total shares	
(d)	Qualified Foreign Investor	-	-	-	-	-	-	-	-	-
(e)	Others	-	-	-	-	-	-	-	-	-
	Sub-Total A(2):	48,00,000	-	48,00,000	40.00	48,00,000	-	48,00,000	40.00	-
	Total A=A(1)+A(2)	63,00,208	-	63,00,208	52.50	63,00,208	-	63,00,208	52.50	-
(B)	PUBLIC SHAREHOLDING									
(1)	Institutions									
(a)	Mutual Funds /UTI	25,644	2,000	27,644	0.23	-	2,000	2,000	0.02	(0.21)
(b)	Financial Institutions / Banks	-	800	800	0.01	-	-	-	-	(0.01)
(c)	Central Government / State Government(s)	-	-	-	-	-	-	-	-	-
(d)	Venture Capital Funds	-	-	-	-	-	-	-	-	-
(e)	Insurance Companies	-	-	-	-	-	-	-	-	-
(f)	Foreign Institutional Investors	-	-	-	-	19,500	-	19,500	0.16	0.16
(g)	Foreign Venture Capital Investors	-	-	-	-	-	-	-	-	-
(h)	Qualified Foreign Investor	-	-	-	-	-	-	-	-	-
(i)	Others	-	-	-	-	-	-	-	-	-
	Sub-Total B(1):	25,644	2,800	28,444	0.24	19,500	2,000	21,500	0.18	(0.06)
(2)	Non-Institutions									
(a)	Bodies Corporate	17,68,055	2,332	17,70,387	14.75	13,08,705	2,332	13,11,037	10.92	(3.83)
(b)	Individuals									
	(i) Individuals holding nominal share capital upto Rs.1 lakh	18,87,681	2,14,302	21,01,983	17.52	17,80,977	1,79,002	19,59,979	16.33	(1.19)
	(ii) Individuals holding nominal share capital in excess of Rs.1 lakh	16,43,844	-	16,43,844	13.70	21,80,060	-	21,80,060	18.17	4.47
(c)	Others	-	-	-	-	-	-	-	-	-
1	Clearing Members	6,887		6,887	0.05	95,987		95,987	0.80	0.75
2	Non Resident Indians	26,317	-	26,317	0.21	26,282	-	26,282	0.22	0.01
3	NRI NON-REPATRIATION	30,686	-	30,686	0.26	34,603	-	34,603	0.29	0.03
4	TRUSTS	1,900	-	1,900	0.02	1,900	-	1,900	0.02	-
5	IEPF	71,437		71,437	0.60	68,444	-	68,444	0.57	(0.03)
6	NBFCs Registered with RBI	17,907		17,907	0.15	-	-	-	-	(0.15)
(d)	Qualified Foreign Investor	-	-	-	-	-	-	-	-	-
	Sub-Total B(2):	54,54,714	2,16,634	56,71,348	47.26	54,96,958	1,81,334	56,78,292	47.32	0.06
	Total B=B(1)+B(2):	54,80,358	2,19,434	56,99,792	47.50	55,16,458	1,83,334	56,99,792	47.50	-
	Total (A+B):	1,17,80,566	2,19,434	1,20,00,000	100.00	1,18,16,666	1,83,334	1,20,00,000	100.00	-

Category code	Category of shareholder	No. of shares held at the beginning of the year 01.04.2019				No. of shares held at the end of the year 31.03.2020				% Change during the year
		Demat	Physical	Total	% of total shares	Demat	Physical	Total	% of total shares	
(C)	Shares held by custodians, against which Depository Receipts have been issued	-	-	-	-	-	-	-	-	-
(1)	Promoter and Promoter Group	-	-	-	-	-	-	-	-	-
(2)	Public	-	-	-	-	-	-	-	-	-
	GRAND TOTAL (A+B+C):	1,17,80,566	2,19,434	1,20,00,000	100	1,18,16,666	1,83,334	1,20,00,000	100.00	-

(ii). Shareholding of Promoters:

Sl. No.	Shareholder's Name	Shareholding at the beginning of the year			Shareholding at the end of the year			% change in share Holding during The year
		No. of Shares	% of total Shares of the Company	% of Shares Pledged / Encumbered to total shares	No. of Shares	% of total Shares of the Company	% of Shares Pledged / Encumbered to total shares	
1	Yuken Kogyo Co., Ltd	48,00,000	40.00	-	48,00,000	40.00	-	-
2	Benefic Investment And Finance Company Pvt Ltd	13,91,808	11.60	-	13,91,808	11.60	-	-
3	C P Rangachar	84,400	0.70	-	84,400	0.70	-	-
4	Vidya Rangachar	16,000	0.13	-	16,000	0.13	-	-
5	Madhuri Rangachar	8,000	0.07	-	8,000	0.07	-	-
	Total	63,00,208	52.50	-	63,00,208	52.50		-

(iii). Change in Promoters' Shareholding:

Sl. No.	Particulars	Shareholding at the beginning of the year		Increased During the year	Decreased During the Year	Cumulative Shareholding at the end of the Year	
		No. of shares	% of total shares of the Company			No. of shares	% of total shares of the Company
1	Yuken Kogyo Co., Ltd	48,00,000	40.00	-	-	48,00,000	40.00
2	Benefic Investment And Finance Company Pvt Ltd	13,91,808	11.60	-	-	13,91,808	11.60
3	C P Rangachar	84,400	0.70	-	-	84,400	0.70
4	Vidya Rangachar	16,000	0.13	-	-	16,000	0.13
5	Madhuri Rangachar	8,000	0.07	-	-	8,000	0.07
	Total	63,00,208	52.50	-	-	63,00,208	52.50

Note: There is no changes in the Promoter's shareholding during the financial year 2019-20.

(iv). Shareholding Pattern of top ten Shareholders (other than Directors, Promoters and Holders of GDRs and ADRs):

Sl. No.	Name of the Share Holder	Shareholding at the beginning of the Year		Date	Increase/ (Decrease) in share holding	Reason	Cumulative Shareholding during the Year	Shareholding at the end year	
		No of Shares	% of total shares of the Company					No of Shares	% of total shares of the Company
1	GKK CAPITAL MARKETS PRIVATE LIMITED	-	-	01/04/2019	Opening Balance		-	4,26,500	3.55
				01/11/2019	1,17,699	Purchase	1,17,699		
				08/11/2019	59,000	Purchase	1,76,699		
				15/11/2019	56,200	Purchase	2,32,899		
				22/11/2019	(2,32,899)	Sale	-		
				21/02/2020	2,500	Purchase	2,500		
				28/02/2020	(2,298)	Sale	202		
				13/03/2020	(202)	Sale	-		
				27/03/2020	4,26,500	Purchase	4,26,500		
				31/03/2020	Closing Balance		4,26,500		
2	FAZAL NABI SHAIK	-	-	01/04/2019	Opening Balance		-	2,15,000	1.79
				11/10/2019	59,900	Purchase	59,900		
				18/10/2019	1,55,000	Purchase	2,14,900		
				25/10/2019	100	Purchase	2,15,000		
				31/03/2020	Closing Balance		2,15,000		
3	ANIL BHAVANJI SHAH	2,03,952	1.70	01/04/2019	Opening Balance		2,03,952	2,03,952	1.70
				31/03/2020	Closing Balance		2,03,952		
4	KCP SUGAR AND INDUSTRIES CORPORATION LIMITED	1,88,864	1.57	01/04/2019	Opening Balance		1,88,864	1,88,864	1.57
				31/03/2020	Closing Balance		1,88,864		
5	FAZAL NABI SHAIK	5,727	0.05	30/03/2019	Opening Balance		5,727	1,46,992	1.22
				20/09/2019	3,02,964	Purchase	3,08,691		
				30/09/2019	(3,08,691)	Sale	-		
				08/11/2019	1,44,669	Purchase	1,44,669		
				31/03/2020	2,323	Purchase	1,46,992		
				31/03/2020	Closing Balance		1,46,992		
6	GEETA CHETAN SHAH	-	-	01/04/2019	Opening Balance		-	1,33,608	1.11
				26/04/2019	40,000	Purchase	40,000		
				10/05/2019	25,000	Purchase	65,000		
				26/07/2019	(65,000)	Sale	-		
				23/08/2019	85,000	Purchase	85,000		
				15/11/2019	28,608	Purchase	1,13,608		
				22/11/2019	20,000	Purchase	1,33,608		
				31/03/2020	Closing Balance		1,33,608		
7	SALIM PYARLI GOVANI	1,35,284	1.13	01/04/2019	Opening Balance		135,284	1,22,584	1.02
				31/12/2019	(2,700)	Sale	1,32,584		
				31/03/2020	(10,000)	Sale	1,22,584		
				31/03/2020	Closing Balance		1,22,584		
8	TEJAS VIDYADHARA RAO SETHI	1,08,000	0.90	01/04/2019	Opening Balance		1,08,000	1,08,000	0.90
				31/03/2020	Closing Balance		1,08,000		
9	SHIVSWAROOP GUPTA (HUF)	93,268	0.78	01/04/2019	Opening Balance		93,268	93,268	0.78

Sl. No.	Name of the Share Holder	Shareholding at the beginning of the Year		Date	Increase/ (Decrease) in share holding	Reason	Cumulative Shareholding during the Year	Shareholding at the end year	
		No of Shares	% of total shares of the Company					No of Shares	% of total shares of the Company
				31/03/2020	Closing Balance		93,268		
10	EVERMORE STOCK BROKERS PRIVATE LIMITED	-	-	01/04/2019	Opening Balance		-	88,489	0.73
				17/01/2020	88,489	Purchase	88,489		
					Closing Balance		88,489		

(v) Shareholding of Directors and Key Managerial Personnel:

Sl. No.	Particulars	DPID	Folio / Client-Id	Shareholding at the beginning of the year	Increased During the year	Decreased During the Year	Cumulative Shareholding during the Year	
				No. of shares			No. of shares	% of total shares of the Company
1	C P Rangachar	IN300360	21003688	84,400	-	-	84,400	0.70
2	Vidya Rangachar	IN300360	21003670	16,000	-	-	16,000	0.13
3	H M Narasinga Rao	-	YIL009000 & YIL011597	1,800			1,800	0.02
	Total			1,02,200	-	-	1,02,200	0.85

V. INDEBTEDNESS:

Indebtedness of the Company including interest outstanding/accrued but not due for payment: Rs. in lakhs

Particulars	Secured Loans Excluding Deposits	Unsecured Loans	Deposits	Total Indebtedness
Indebtedness at the beginning of the financial year				
i) Principal Amount	7,660.64	1,280.00	-	8,940.64
ii) Interest due but not paid	-	-	-	-
iii) Interest accrued but not due	-	1.42	-	1.42
Total (i+ii+iii)	**7,660.64**	**1,281.42**	**-**	8,942.06
Change in Indebtedness during the financial year				
Addition	2,300.16	-	-	2,300.16
Deletion	(531.70)	(770.82)	-	(1,302.52)
Net Change	1,768.46	(770.82)	-	997.64
Indebtedness at the end of the financial year				
i) Principal Amount	9,429.10	509.18		9,938.28
ii) Interest due but not paid	-	-	-	-
iii) Interest accrued but not due	11.18	0.15	-	11.33
Total (i+ii+iii)	9,440.28	509.33	-	9,949.61

VI. REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL:

A. Remuneration to Managing Director, Whole-time Directors and/or Manager:

Sl. No.	Particulars of Remuneration	Total Amount (in Rs.)
1	Name	C P Rangachar – Managing Director
2	Gross salary	
	(a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961	48,00,000
	(b) Value of perquisites u/s 17(2) Income-tax Act, 1961	8,23,876
	(c) Profits in lieu of salary under section 17(3) Income-tax Act, 1961	-
3	Stock Option	-
4	Sweat Equity	-
5	Commission	
	- as % of profit 1%	-
	- others, specify	-
6	Others: Contribution to Superannuation fund, PF and Insurance other funds	12,96,000
	Total (A)	**69,19,876**
	Ceiling as per the Act: In the scale of Rs. 350,000/- to Rs. 700,000/- per month as per the provisions of the Companies Act, 2013 and as approved by the shareholders.	

B. Remuneration to other Directors:

Amount in Rs.

Sl. No.	Particulars of Remuneration	Names of Directors						Total Amount
		Mr. Hideharu Nagahisa	Mr. R Srinivasan	Capt. N S Mohanram	Dr. Premchander	Mr. Hidemi Yasuki	Mrs. Vidya Rangachar	
1	Independent Directors							
	Fee for attending board meetings	-	76,000	92,000	92,000	-	-	**2,60,000**
	▪ Committee meetings	-	96,000	108,000	1,28,000	-	-	**3,32,000**
	▪ Commission	-				-	-	
	▪ Others, please specify	-	-	-	-	-	-	-
	Total (1)	-	1,72,000	2,00,000	2,20,000	-	-	5,92,000
2	Other Directors							
	Fee for attending board meetings	20,000	-	-	-	20,000	92,000	**1,32,000**
	▪ Committee meetings	-	-	-	-	-	-	-
	▪ Commission	37,916	37,917	37,917	37,917	37,916	37,917	**2,27,500**
	▪ Others, please specify	-	-	-	-	-	-	-
	Total (2)	57,916	37,917	37,917	37,917	57,916	37,917	3,59,500
	Grand Total=(1+2)	57,916	2,09,917	2,37,917	2,57,917	57,916	1,29,917	9,51,500

Notes:

1. Remuneration to other Directors includes Sitting Fees and Commission.
2. Overall Ceiling as per the Act: Sitting Fee - Rs. 100,000/- per Meeting and Commission maximum 1% of net profit of the Company.
3. All Non-Executive and Independent Directors are eligible for Commission of 1% on the Net profit of the Company, but considering the economic slowdown and COVID-19 pandemic impact on Company's Business, the Members of the Nomination and Remuneration Committee considered and approved for payment of 50% of the eligible amount of Commission for FY 2019-20. The Net profit is calculated as per the provisions of Section 198 of the Companies Act, 2013.

C. REMUNERATION TO KEY MANAGERIAL PERSONNEL OTHER THAN MD/MANAGER/WTD:

Amount in Rs.

Sl. No.	Particulars of Remuneration	Key Managerial Personnel				Total Amount
		Mr. K Gopalkrishna Executive Director	**Mr. H M Narasinga Rao** Chief Financial Officer	**Mr. A Venkatakrishnan** Chief Operating Officer	**Mr. Vinayak Hegde** Company Secretary	
1.	Gross salary					
	(a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961	51,45,342	43,45,092	25,06,092	5,47,785	**1,25,44,311**
	(b) Value of perquisites u/s17(2) Income-tax Act, 1961	21,600	21,600	21,600	-	**64,800**
	(c) Profits in lieu of salary under section 17(3) Income tax Act, 1961	-	-	-	-	-
2.	Stock Option	-	-	-	-	-
3.	Sweat Equity	-	-	-	-	-
4.	Commission - as % of profit - others, specify…	-	-	-	-	-
5.	**Others:** Contribution to Superannuation fund, PF and Insurance	4,87,620	3,95,280	2,64,060	44,388	**11,91,348**
	Total	**56,54,562**	**47,61,972**	**27,91,752**	**5,92,173**	**1,38,00,459**

VII. PENALTIES / PUNISHMENT/ COMPOUNDING OF OFFENCES:

During the year under review, no penalty was levied against the Company, its Directors or any of its Officers under the Companies Act, 2013 and also there was no punishment or compounding of offences against the Company, its Directors or any of its Officer.

For and on **behalf of the Board of Directors**

Place: Bengaluru Date: 27th June, 2020	C P Rangachar Managing Director (DIN: 00310893)	Capt. N S Mohanram Director (DIN: 02466671)	R Srinivasan Director (DIN: 00043658)