



**YUKEN INDIA LIMITED**  
An ISO 9001:2015 Company  
**Manufacturers of Oil Hydraulic Equipment**  
IN COLLABORATION WITH YUKEN KOGYO CO. LTD., JAPAN.  
**CIN: L29150KA1976PLC003017**



Regd. Office:	No. 16-C, Doddanekundi Industrial Area II Phase, Mahadevapura, Bengaluru – 560 048.	Factory:	PB No. 5, Koppathimmanahalli Village, Malur-Hosur Main Road, Malur Taluk, Kolar District – 563 130.
Phone	+91- 9731610341	Phone :	+91 9845191995
Our Ref No:	YIL/Sec/2021	E-mail:	hmn_rao@yukenindia.com
Date:	10 <sup>th</sup> June, 2021	Web:	<a href="http://www.yukenindia.com">www.yukenindia.com</a>

To,

**The General Manager,  
Listing Compliance & Legal Regulatory,  
BSE Limited, PJ Towers, Dalal Street,  
Mumbai-400001.**

**BSE Script Code: 522108**

Dear Sir/Madam,

**Sub: Secretarial Compliance Report under Regulation 24(A) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015:**

With reference to the caption subject, in terms of Regulation 24(A) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, we enclosed herewith Secretarial Compliance Report for the financial year ended March 31, 2021.

Please take the same on record.

Thanking you,

Yours faithfully,  
For **Yuken India Limited**

**Vinayak Hegde  
Company Secretary & Compliance Officer**





www.jandc.in

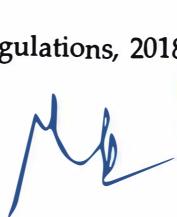
**ANNUAL SECRETARIAL COMPLIANCE REPORT  
YUKEN INDIA LIMITED  
for the year ended 31st March 2021**

We have examined:

- a) all the documents and records made available to us and explanation provided by YUKEN INDIA LIMITED ("the listed entity"), bearing CIN: L29150KA1976PLC003017 and having its registered office at No. 16-C, Doddanekundi Industrial Area, II Phase, Mahadevapura, Bengaluru, Karnataka- 560048, India and books of accounts and papers maintained at P B No. 5, Koppathimmanahalli Village Malur-Hosur Main Road, Malur Taluk, Kolar District 563130.
- b) the filings/ submissions made by the listed entity to the stock exchanges,
- c) website of the listed entity,
- d) any other document/ filing, as may be relevant, which has been relied upon to make this certification, for the year ended 31<sup>st</sup> March, 2021 in respect of compliance with the provisions of :
- a) the Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, circulars, guidelines issued thereunder; and
- b) the Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India ("SEBI");

The specific Regulations, whose provisions and the circulars/ guidelines issued thereunder, have been examined, include:

- a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018;
- c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;
- d) Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018; (Not applicable to the Company during Audit period)



Joseph & Chacko LLP  
CS  
Bangalore

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**Joseph & Chacko LLP**  
(LLPIN -AAF-8117)

Regd. Office: # 48, 100 Ft Road, Opp. Kendriya Sadan, Koramangala, Bangalore - 560034  
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- e) Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014; (Not applicable to the Company during Audit period)
- f) Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008; (Not applicable to the Company during Audit period)
- g) Securities and Exchange Board of India (Issue and Listing of Non-Convertible and Redeemable Preference Shares) Regulations, 2013; (Not applicable to the Company during Audit period)
- h) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;

and based on the above examination, I hereby report that, during the Review Period:

- a) The listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued thereunder, except in respect of matters specified below: -

Sr. No	Compliance Requirement (Regulations/ circulars / guidelines including specific clause)	Deviations	Observations/ Remarks of the Practicing Company Secretary
	LODR Regulations 17 (1)	-	The Company has appointed Mrs. Indra Prem Menon as Woman Independent Director on 24 <sup>th</sup> September 2020. The said appointment was made beyond time limit specified under this regulation.

- b) The listed entity has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued thereunder insofar as it appears from our examination of those records.



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c) The following are the details of actions taken against the listed entity/ its promoters/ directors/ material subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/ Regulations and circulars/ guidelines issued thereunder:

Sr. No	Action taken by	Details of violation	Details of action taken e.g. fines, warning letter, debarment, etc.	Observations/remarks of the Practicing Company Secretary, if any.
	NA	NA	NA	NA

d) The listed entity has taken the following actions to comply with the observations made in previous reports:

Sr. No	Observations of the Practicing Company Secretary, in the Previous Report	Observations made in the secretarial compliance report for the year ended...	Action taken by the listed entity, if any	Comments of the Practicing Company Secretary, on the action taken by listed entity
	NA	NA	NA	NA

For Joseph & Chacko LLP  
Company Secretaries

Binoy Chacko

FCS No.: 4792

CP No.: 4221

UDIN: F004792C000360334



Place: Bangalore

Date: 24.05.2021

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